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CONFIRMATION NO. 8954

<b>SERIAL NUMBER</b> 10/086,769	<b>FILING or 371(c) DATE</b> 03/01/2002 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 3693	<b>ATTORNEY DOCKET NO.</b> 58985(49357)		
<b>APPLICANTS</b> Joseph M. Kochansky, New York, NY; <b>** CONTINUING DATA ***** NONE</b> <b>** FOREIGN APPLICATIONS ***** NONE</b> <b>** IF REQUIRED, FOREIGN FILING LICENSE GRANTED **</b> 04/03/2002						
Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Verified and Acknowledged <u>/JAGDISH PATEL/</u> Examiner's Signature		<input type="checkbox"/> Met after Allowance Initials	<b>STATE OR COUNTRY</b> NY	<b>SHEETS DRAWINGS</b> 4	<b>TOTAL CLAIMS</b> 36-26	<b>INDEPENDENT CLAIMS</b> 5-4
<b>ADDRESS</b> EDWARDS ANGELL PALMER & DODGE LLP P.O. BOX 55874 BOSTON, MA 02205 UNITED STATES						
<b>TITLE</b> Investment portfolio compliance system						
<b>FILING FEE RECEIVED</b> 1196	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit			